

Attorney Docket No.: 30ST-900310US  
Client Reference No.: SF2000-012-3

### DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **NGF FOR THE PREVENTION OF DEMYELINATION IN THE NERVOUS SYSTEM** the specification of which    is attached hereto or   x   was filed on May 10, 2001 as Application No. 09/854142, and was amended on                      (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s)

| Country | Application No. | Date of Filing | Priority Claimed Under<br>35 USC 119 |
|---------|-----------------|----------------|--------------------------------------|
|         |                 |                |                                      |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

| Application No. | Filing Date |
|-----------------|-------------|
|                 |             |

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Application No. | Date of Filing | Status |
|-----------------|----------------|--------|
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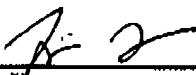
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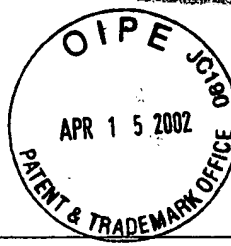
Attorney Docket No.: 305T-900310US  
Client Reference No.: SP2000-012-3

|                          |   |                                     |   |
|--------------------------|---|-------------------------------------|---|
| Full Name of Inventor 1: | Full Name:<br><b>Rse Bartke</b>   |                                     |   |
| Residence & Citizenship: | City:   | State/Foreign Country:              | Country of Citizenship:<br><b>Germany</b> |
| Post Office Address:     | Post Office Address:<br><b>Sandhofer StraÙe 116<br/>D-68305 Mannheim</b>  |                                     |   |
| Full Name of Inventor 2: | Full Name:<br><b>Jurgen Unger</b>   |                                     |   |
| Residence & Citizenship: | City:   | State/Foreign Country:              | Country of Citizenship:<br><b>Germany</b> |
| Post Office Address:     | Post Office Address:<br><b>Sperberweg 5<br/>D-84036 Landshut</b>          |                                     |   |
| Full Name of Inventor 3: | Full Name:<br><b>Claude Gensin</b>  |                                     |   |
| Residence & Citizenship: | City:<br><b>Mill Valley</b>   | State/Foreign Country:<br><b>CA</b> | Country of Citizenship:<br><b>France</b>  |
| Post Office Address:     | Post Office Address:<br><b>568 Marin Avenue<br/>Mill Valley, CA 94941</b> |                                     |   |
| Full Name of Inventor 4: | Full Name:<br><b>Stephen Hauser</b>                                       |                                     |   |
| Residence & Citizenship: | City:<br><b>Ross</b>  | State/Foreign Country:<br><b>CA</b> | Country of Citizenship:<br><b>USA</b>     |
| Post Office Address:     | Post Office Address:<br><b>P.O. Box 1583<br/>Ross, CA 94957</b>           |                                     |   |

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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

|  |  |  |
|--|--|--|
| Signature of Inventor 1<br><br><b>J Rse Bartke</b><br>_____<br><b>Rse Bartke</b> | Signature of Inventor 2<br><br><br>_____<br><b>Jurgen Unger</b> | Signature of Inventor 3<br><br>_____<br><b>Claude Gensin</b> |
| Date <b>02/18/2002</b>   | Date <b>02/20/2002</b>   | Date   |
| Signature of Inventor 4<br><br>_____<br><b>Stephen Hauser</b>                    |  |  |
| Date   |  |  |

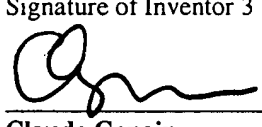


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| Post Office Address:     | Post Office Address:<br><b>Sandhofer StraÙe 116<br/>D-68305 Mannheim</b>  |                                     |   |
| Full Name of Inventor 2: | Full Name:<br><b>Jurgen Unger</b>   |                                     |   |
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| Post Office Address:     | Post Office Address:<br><b>Sperberweg 5<br/>D-84036 Landshut</b>          |                                     |   |
| Full Name of Inventor 3: | Full Name:<br><b>Claude Genain</b>  |                                     |   |
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| Full Name of Inventor 4: | Full Name:<br><b>Stephen Hauser</b>                                       |                                     |   |
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|   |  |  |
|---|--|--|
| Signature of Inventor 1<br><br><b>Ilse Bartke</b> | Signature of Inventor 2<br><br><b>Jurgen Unger</b> | Signature of Inventor 3<br><br><b>Claude Genain</b> |
| Date  | Date   | Date <b>January 30, 2002</b>   |

|  |  |  |
|--|--|--|
| Signature of Inventor 4<br><br><b>Stephen Hauser</b> |  |  |
| Date   |  |  |

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| Post Office Address:     | Post Office Address:<br><b>Sandhofer StraÙe 116<br/>D-68305 Mannheim</b>  |                                     |   |
| Full Name of Inventor 2: | Full Name:<br><b>Jurgen Unger</b>   |                                     |   |
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|                         |                         |                         |
|-------------------------|-------------------------|-------------------------|
| Signature of Inventor 1 | Signature of Inventor 2 | Signature of Inventor 3 |
| <b>Ilse Bartke</b>      | <b>Jurgen Unger</b>     | <b>Claude Genain</b>    |
| Date                    | Date                    | Date                    |

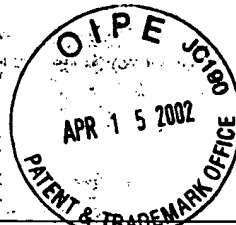
|                         |  |  |
|-------------------------|--|--|
| Signature of Inventor 4 |  |  |
| <b>Stephen Hauser</b>   |  |  |
| Date                    |  |  |

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TOTAL P.03



#4

PTO/SB/96 (2-98)  
Approved for use through 09/30/02000. OMB 0651-0031

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: Ilse Bartke, et al.

Application No.: 09/854142

Filed: May 10, 2001

Entitled: NGF FOR THE PREVENTION OF DEMYELINATION IN THE NERVOUS SYSTEM

The Regents of the University, a  
of California

University

Boehringer Mannheim GMBH

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: NGF FOR THE PREVENTION OF DEMYELINATION IN THE NERVOUS SYSTEM To: Ilse Bartke, et al.

The document was recorded in the Patent and Trademark Office at Reel 115, Frame 155, or for which a copy thereof is attached.

2. From: The Regents of the University of California To: Boehringer Mannheim GMBH

The document was recorded in the Patent and Trademark Office at Reel 115, Frame 155, or for which a copy thereof is attached.

states that it is:

3. From: Boehringer Mannheim GMBH To: Boehringer Mannheim GMBH

The document was recorded in the Patent and Trademark Office at Reel 115, Frame 155, or for which a copy thereof is attached.

2. ☐ an assignee of an undivided part interest

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

OR January 28, 2002

Date

Susan Y. Nakashima  
Signature

A chain of title from the inventor(s), of the patent application identified above, to the current assignee is shown below:

Susan Y. Nakashima

Typed or printed name

Business Manager, UCSF OTM

Title

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